THERMAL TRANSPORT IN HIGH SPEED

OPTICAL FIBER DRAWING AND COATING

YOGESH JALURIA

Department of Mechanical and Aerospace Engineering Rutgers, the State University of New Jersey New Brunswick, NJ 08903



Outline

- Thermal Processing of Materials
- Manufacture of Optical Fibers
- Furnace Optical Fiber Drawing
- Thermally Induced Defects
- Process Feasibility
- Fiber Cooling

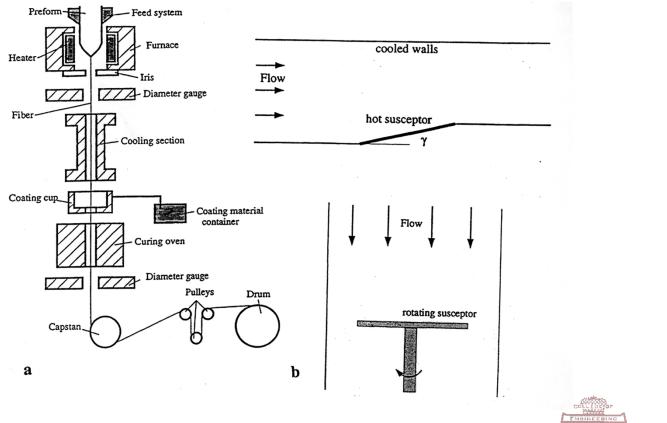
- Coating of Fibers
- Furnace Wall Temperature Measurement
- Design and Optimization
- Conclusions and Future Research Needs



Thermal Processing of Materials

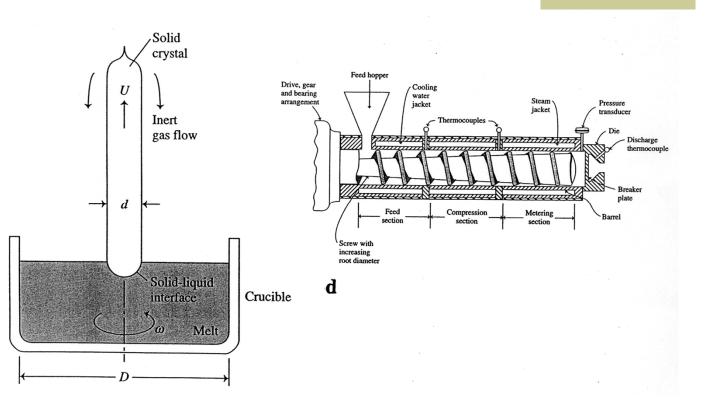


Optical Fiber Drawing and Chemical Vapor Deposition





Czochralski Crystal Growing and Polymer Screw Extrusion



С



Different Thermal Materials Processing Operations

1. PROCESSES WITH PHASE CHANGE

casting, continuous casting, crystal growing, drying

2. HEAT TREATMENT

annealing, hardening, tempering, surface treatment, curing, baking 3. FORMING OPERATIONS

hot rolling, wire drawing, metal forming, extrusion, forging 4. CUTTING

laser and gas cutting, fluid jet cutting, grinding, machining 5. BONDING PROCESSES

soldering, welding, explosive bonding, chemical bonding 6. POLYMER PROCESSING

extrusion, injection molding, thermoforming



Different Thermal Materials Processing Operations (Contd.)

7. REACTIVE PROCESSING

chemical vapor deposition, food processing

8. POWDER PROCESSING

powder metallurgy, sintering, sputtering, processing of nano-powders and ceramics

9. GLASS PROCESSING

optical fiber drawing, glass blowing, annealing

10. COATING

thermal spray coating, polymer coating

11. OTHER PROCESSES

composite materials processing, microgravity materials processing, rapid prototyping



Important Basic Considerations

•	COUPLING OF TRANSPORT WITH MATERIAL
	CHARACTERISTICS
	different materials, properties, behavior, material structure
•	VARIABLE MATERIAL PROPERTIES
	strong variation with temperature, pressure and concentration
•	COMPLEX GEOMETRIES
	complicated domains, multiple regions
•	COMPLICATED BOUNDARY CONDITIONS
	conjugate conditions, combined modes
•	INTERACTION BETWEEN DIFFERENT MECHANISMS
	surface tension, heat and mass transfer, chemical reactions, phase change
•	MICRO-MACRO COUPLING

micro-structure changes, mechanisms operating at different length and time scales

COMPLEX FLOWS

non-Newtonian flows, free surface flows, powder and particle transport

Important Engineering Aspects

- PROCESS FEASIBILITY
- DESIGN OF RELEVANT THERMAL SYSTEM
- SYSTEM OPTIMIZATION AND CONTROL
- PRODUCT CHARACTERISTICS
- PRODUCT DEVELOPMENT
- INVERSE PROBLEMS
- DIFFERENT ENERGY SOURCES
- **PRODUCTIVITY, COST**



Manufacture of Optical Fibers



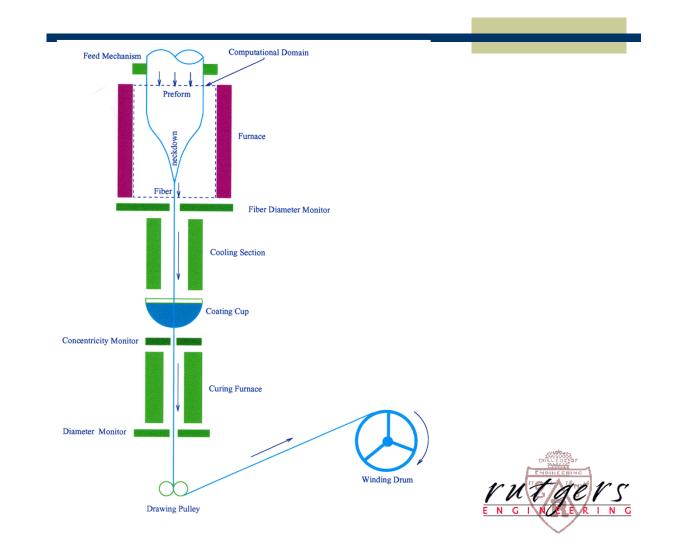
Optical Fiber Drawing System



- Drawing Furnace
- Fiber Cooling
- Coating
- Curing
- Take-Up



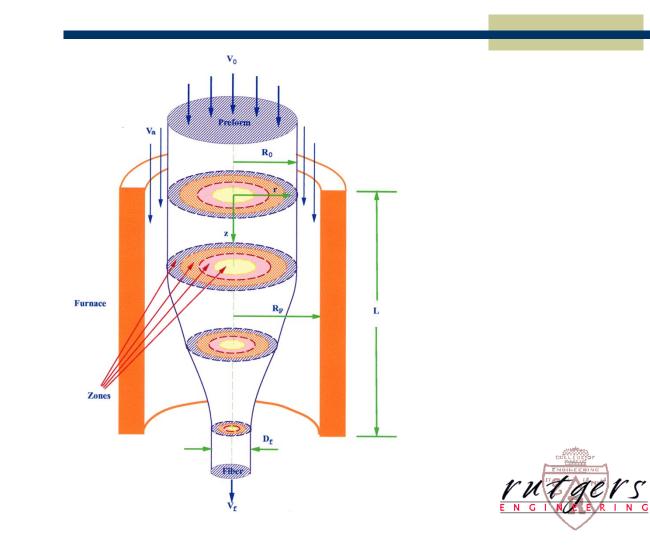
Sketch of Optical Fiber Drawing System



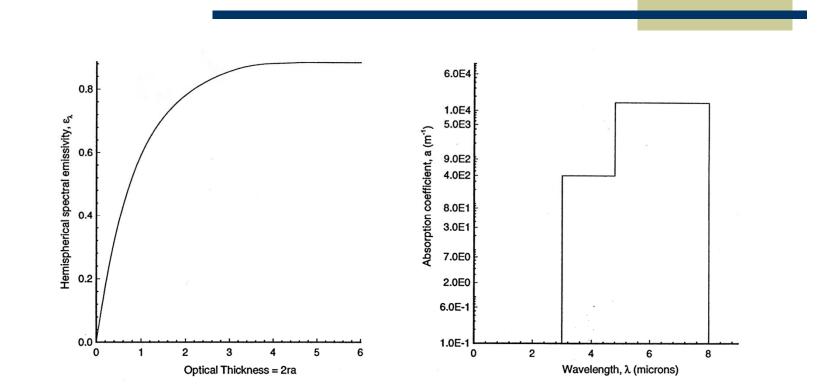
Furnace Drawing of Optical Fibers



Cylindrical Draw Furnace and Finite Zones For Radiation Analysis

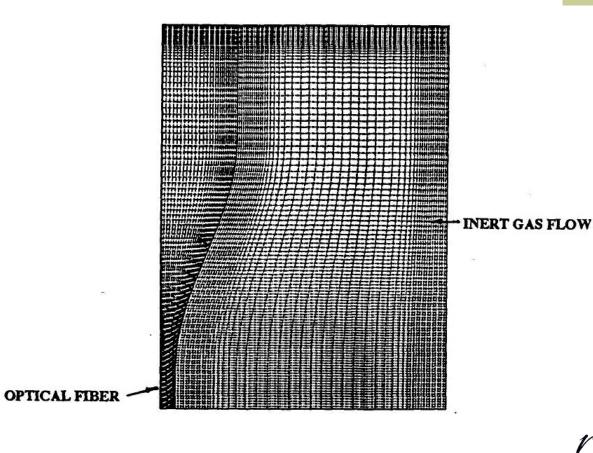


Material properties: Radiation Properties of Silica Glass





Grid for Numerical Modeling of Flow in Optical Fiber Drawing





Governing Equations

$$\frac{\partial v}{\partial z} + \frac{1}{r} \frac{\partial (ru)}{\partial r} = 0$$

$$\frac{\partial v}{\partial t} + u \frac{\partial v}{\partial r} + v \frac{\partial v}{\partial z} = -\frac{1}{\rho} \frac{\partial p}{\partial z} + \frac{1}{r} \frac{\partial}{\partial r} \left[r v \left(\frac{\partial v}{\partial r} + \frac{\partial u}{\partial z} \right) \right] + 2 \frac{\partial}{\partial z} \left(v \frac{\partial v}{\partial z} \right)$$

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial r} + v \frac{\partial u}{\partial z} = -\frac{1}{\rho} \frac{\partial p}{\partial r} + \frac{2}{r} \frac{\partial}{\partial r} \left(r v \frac{\partial u}{\partial r} \right) + \frac{\partial}{\partial z} \left[v \left(\frac{\partial v}{\partial r} + \frac{\partial u}{\partial z} \right) \right] - \frac{2vu}{r^2}$$

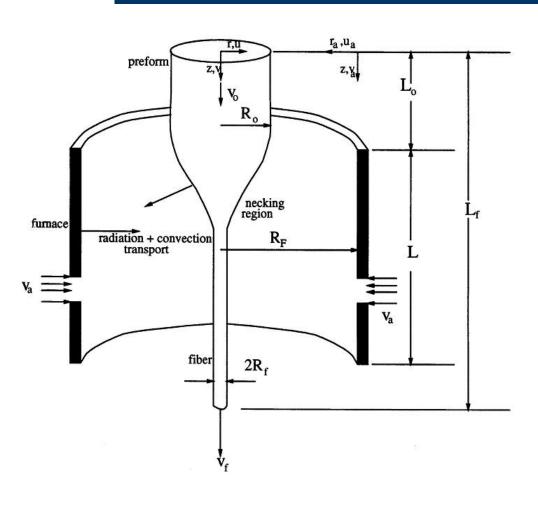
$$\rho C_p \left(\frac{\partial T}{\partial t} + u \frac{\partial T}{\partial r} + v \frac{\partial T}{\partial z} \right) = \frac{1}{r} \frac{\partial}{\partial r} \left(r K \frac{\partial T}{\partial r} \right) + \frac{\partial}{\partial z} \left(K \frac{\partial T}{\partial z} \right) + \Phi + S_r$$

where Φ is the viscous dissipation and is given by

$$\Phi = \mu \left\{ 2 \left[\left(\frac{\partial u}{\partial r} \right)^2 + \left(\frac{u}{r} \right)^2 + \left(\frac{\partial v}{\partial z} \right)^2 \right] + \left(\frac{\partial u}{\partial z} + \frac{\partial v}{\partial r} \right)^2 \right\}$$

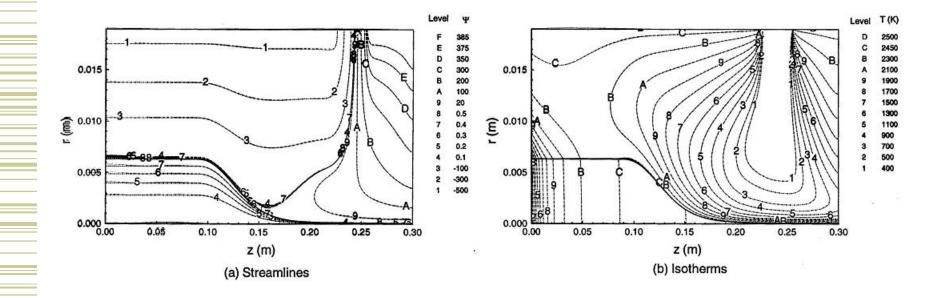


Schematic Diagram of the Thermal Transport Process for Furnace Drawing of an Optical Fiber, Using Peripheral Flow



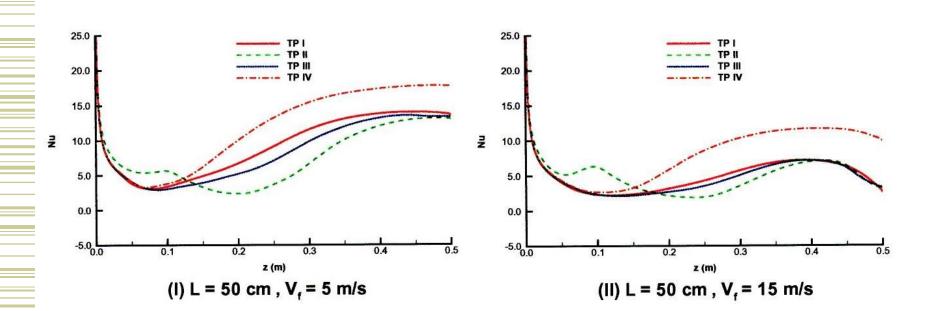


Inert Gas Flow in Optical Fiber Drawing Furnace





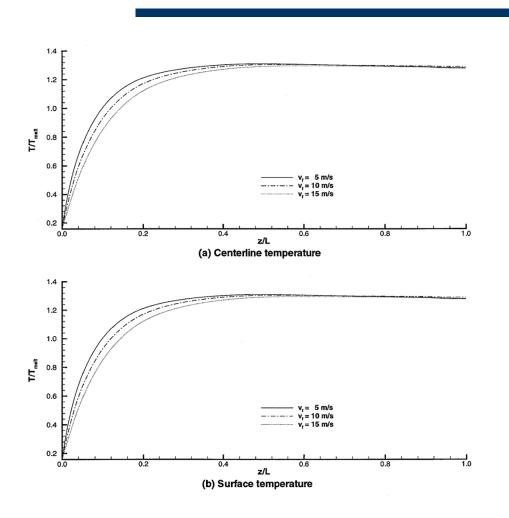
Nusselt Number Variation



TPI, II, .. Represent Different Furnace Wall and Preform Temperature Distributions

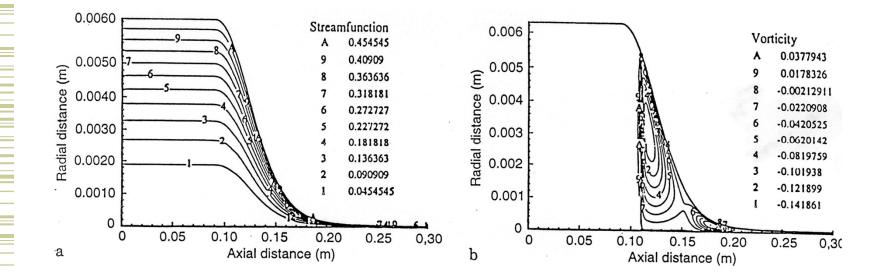


Preform Temperature Distributions for Different Fiber Drawing Speeds



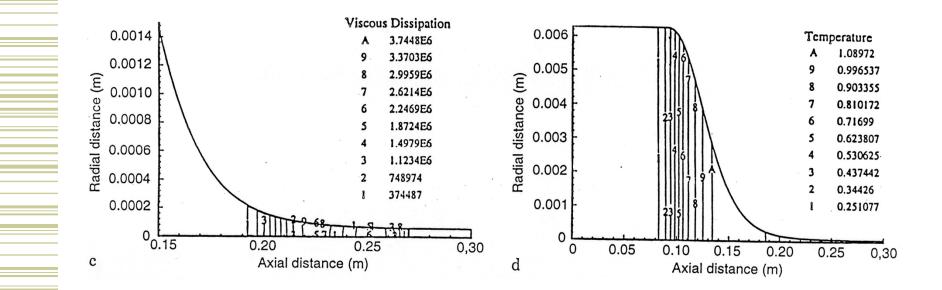


Flow in Neck-Down Region During Optical Fiber Drawing



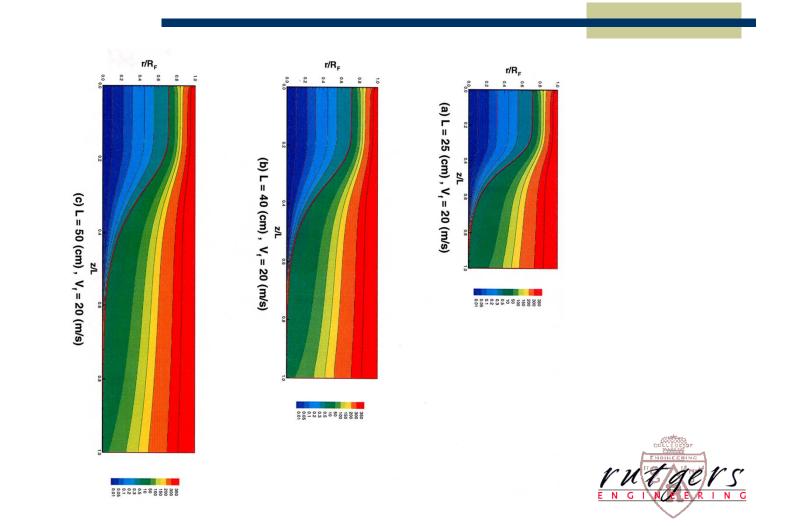


Flow in Neck-Down Region During Optical Fiber Drawing

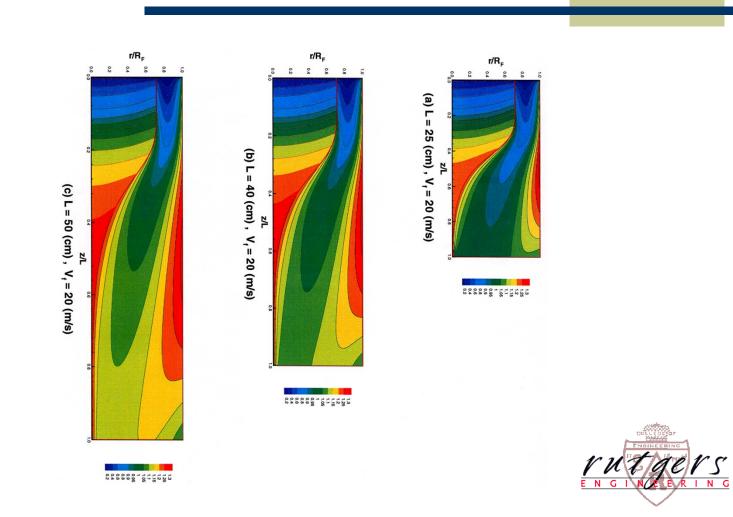




Streamlines in Optical Fiber Drawing



Isotherms in Optical Fiber Drawing



Neck-Down Profile



Force Balances on Free Surface

$$R(z) = \sqrt{\frac{R_0^2 v_0}{\bar{v}}}$$

where $\bar{\mathbf{v}}~$ is the average axial velocity in the glass, which is given as

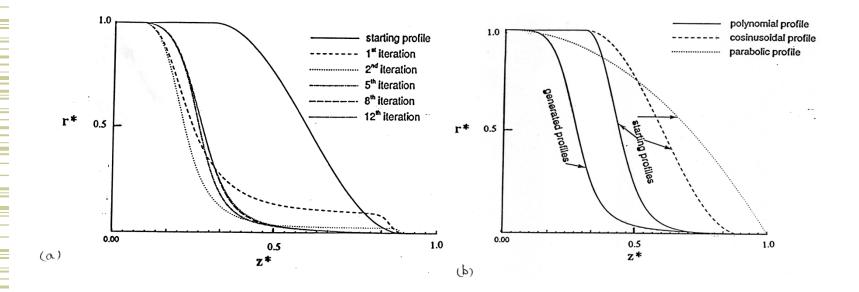
$$\ddot{v} = C_1 \int_0^X \frac{dz}{\mu R^2} - \int_0^X \frac{\rho g}{C_2 \mu R^2} (\int_0^X R^2 dz) dz - \int_0^X \frac{1}{C_2 \mu R^2} [\int_0^X \zeta (\frac{R}{\sqrt{1 + R'^2}} + \frac{1}{R_1}) dz] dz - 2 \rho R^4 v_0^2 \int_0^X \frac{1}{C_2 \mu R^2} (\int_0^X \frac{R'}{R^3} dz) dz$$

where, C₁, C₂ and R₁, the curvature, are defined as

$$C_{1} = v_{f} - v_{0} + \int_{0}^{L} \frac{\rho g}{C_{2} \mu R^{2}} (\int_{0}^{X} R^{2} dz) dz + \int_{0}^{L} \frac{1}{C_{2} \mu R^{2}} [(\int_{0}^{X} \zeta (\frac{R}{\sqrt{1 + R'^{2}}} + \frac{1}{R_{1}}) dz] dz$$
$$+ 2 \rho R^{4} v_{0}^{2} \int_{0}^{L} \frac{1}{C_{2} \mu R^{2}} (\int_{0}^{X} \frac{R'}{R^{3}} dz) dz$$
$$C_{2} = 2 + \frac{1 - 2 R'^{2} + 2 R' - R R''}{1 + R'^{2}}$$
$$R_{1} = \frac{R''}{[1 + (R')^{2}]^{3/2}}$$

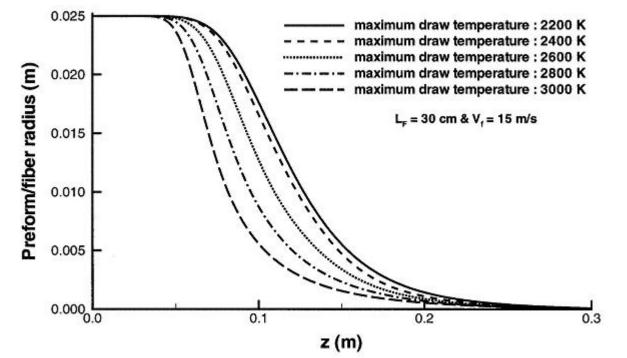


Generation of Neck-Down Profile



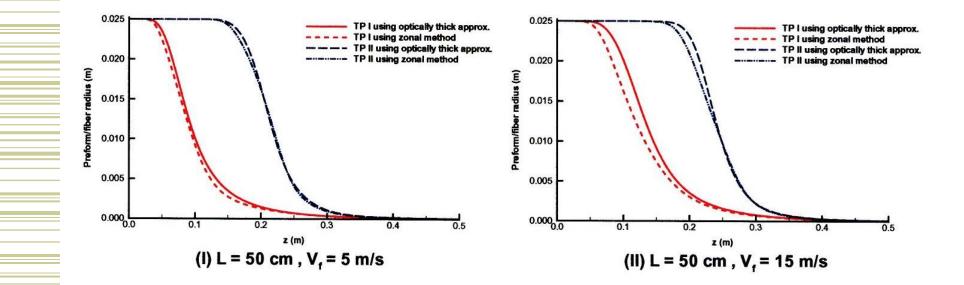


Neck-Down Profiles for Different Furnace Wall Temperatures



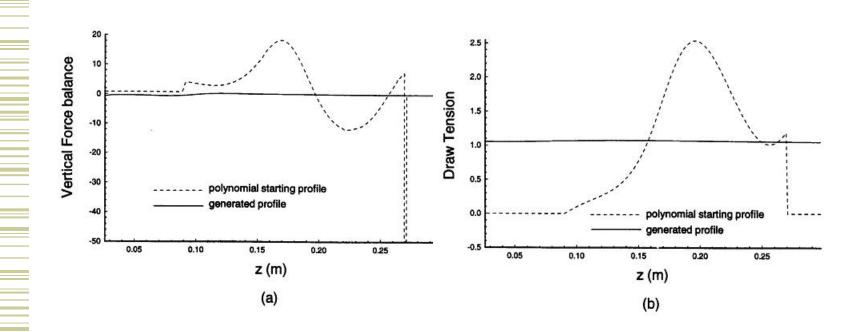


Neck-down Shapes Obtained by Optically Thick Approximation and by the Zonal Method



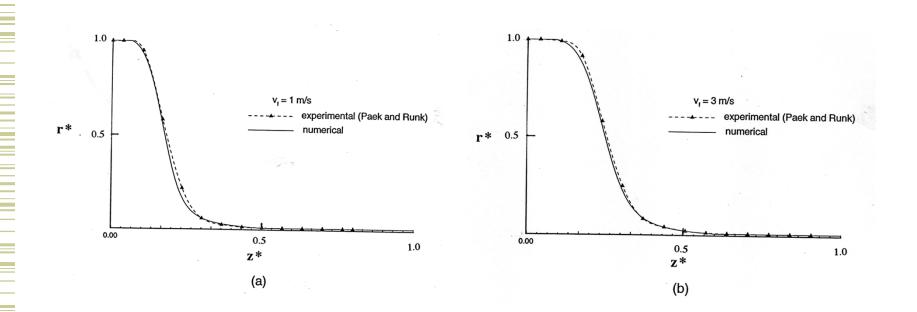


Vertical and Horizontal Force Balances During Profile Convergence



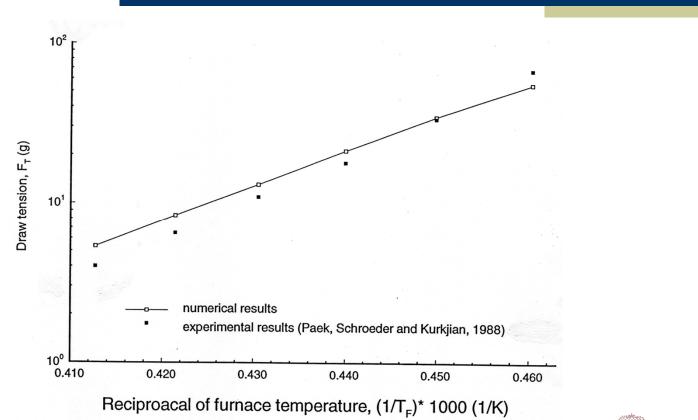


Neck-Down Profile from Numerical Simulation and Experimentation





Calculated Versus Measured Tension in Optical Fiber Drawing





Thermally Induced Defects



E' Defect Generation

$$\begin{array}{l} \displaystyle \frac{dn_d}{dt} &= n_p \,\nu \, exp \, (- \, \frac{E_p}{kT} \) - n_d \,\nu \, exp \, (- \, \frac{E_d}{kT} \) \\ \displaystyle v \, \frac{dn_d}{dz} &= n_p \,\nu \, exp \, (- \, \frac{E_p}{kT} \) - n_d \,\nu \, exp \, (- \, \frac{E_d}{kT} \) \\ \displaystyle n_d = n_p \, (0) - n_p \\ \displaystyle v \, \frac{dn_d}{dz} &= n_p \, (0) \,\nu \, exp \, (- \, \frac{E_p}{kT} \) - n_d \,\nu \, [\, exp \, (- \, \frac{E_p}{kT} \) + exp \, (- \, \frac{E_d}{kT} \)] \end{array}$$

The boundary condition for this equation is given by

 $n_d(0) = 0$

where $E_p=6.4087 \times 10^{-19} J$, $E_d=0.3204 \times 10^{-19} J$, $v=8 \times 10^{-3} s^{-1}$, and $n_p(0)=7 \times 10^{22} g^{-1}$.

If the preform is assumed to be in an equilibrium state at temperature T_{melt},

$$\frac{dn_d}{dt} = 0$$

Therefore, from the preceding equations, the defect concentration in the equilibrium state

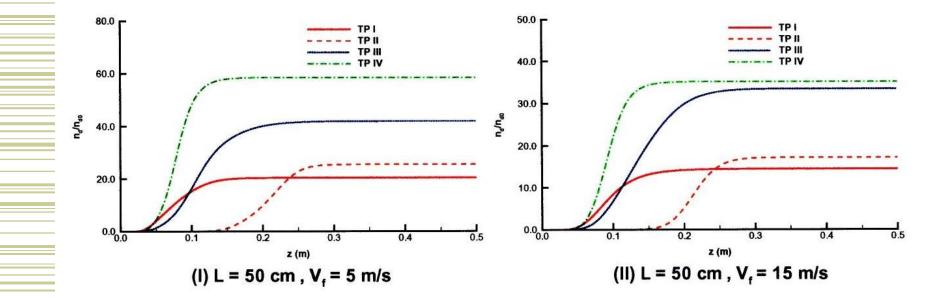
at T_{melt} is obtained as

$$n_{d} (T_{melt}) = \frac{n_{p} (0) \exp \left(-\frac{E_{p}}{kT}\right)}{\exp \left(-\frac{E_{p}}{kT}\right) + \exp \left(-\frac{E_{d}}{kT}\right)}$$

At T_{melt} =1900K, the value of n_d (T_{melt}) is 1.832 x 10¹² g⁻¹.

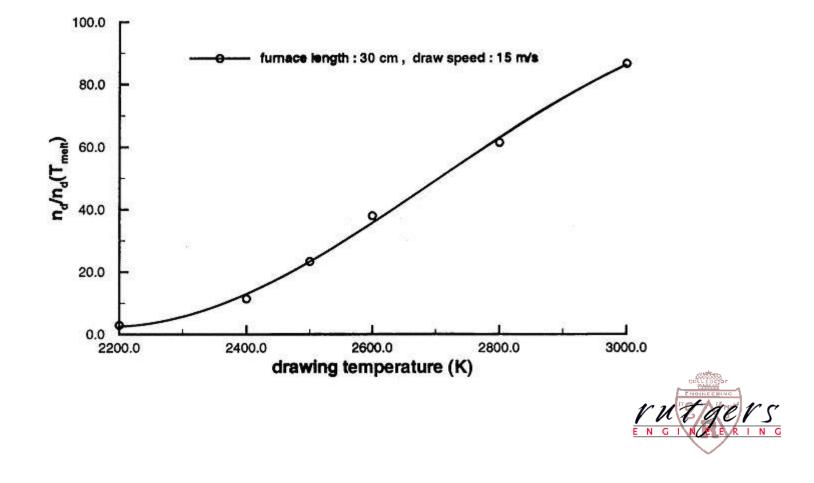


Downstream Variation of Thermally Induced Defects

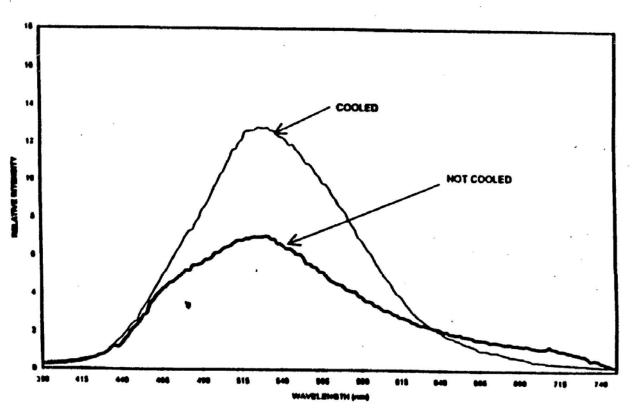




Dependence of Average Concentration of E' Defects on Furnace Wall Temperature



Luminiscence of Fibers Drawn at 2050 C and 80 m/min

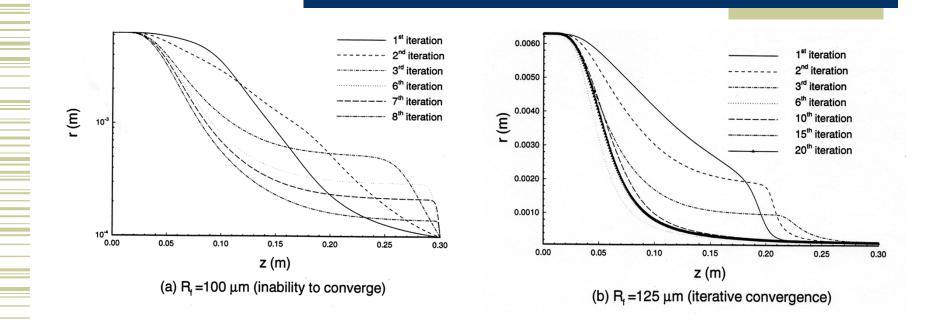




Process Feasibility

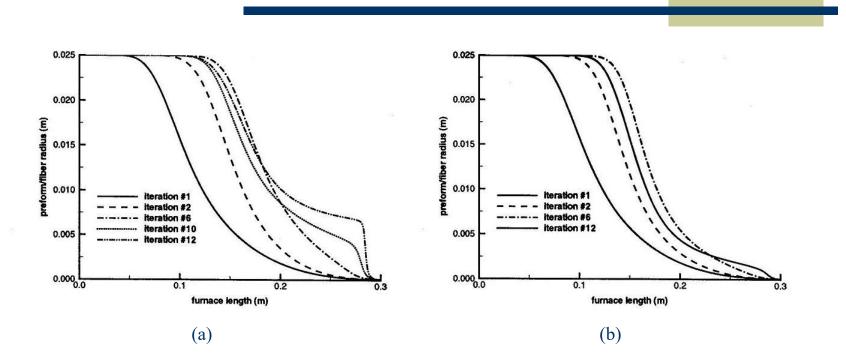


Iterative Convergence Of Neck-Down Profile





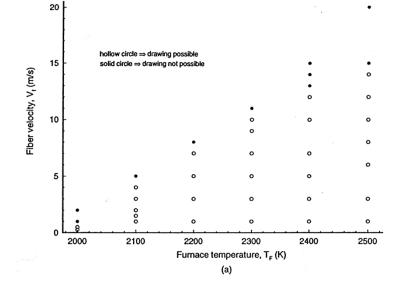
Neck-down Profile Corrections

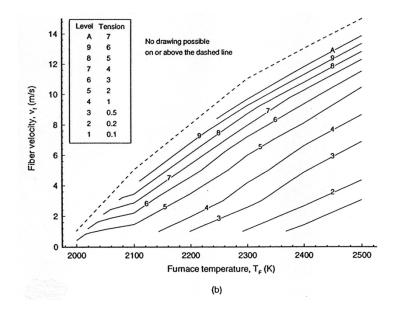


Neck-down profile corrections for an infeasible fiber drawing circumstance at a furnace temperature of (a) 2300K and (b) 2400K and a draw speed of 15 m/s



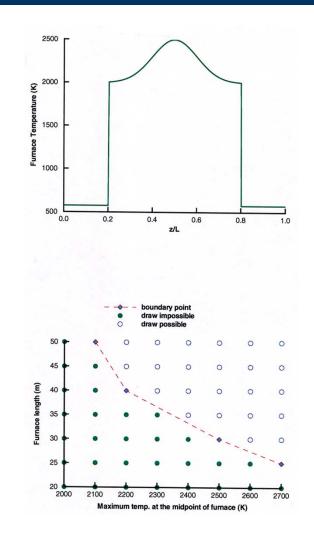
Feasibility Region in Optical Fiber Drawing





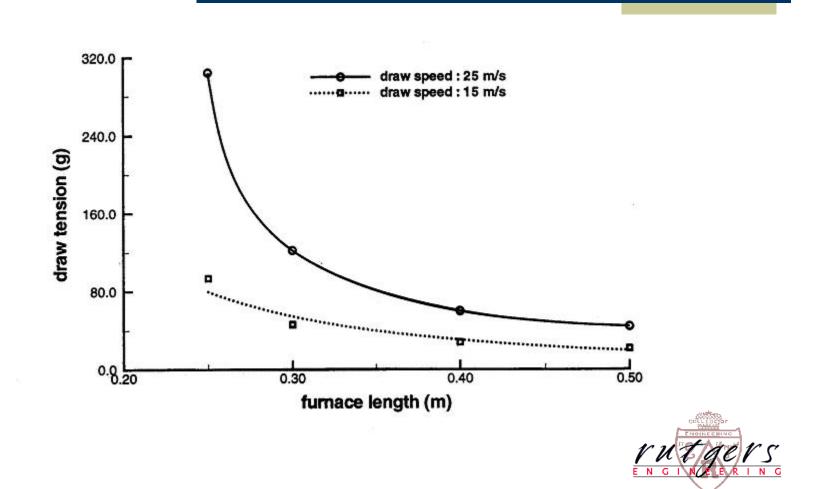


Feasibility Region in Optical Fiber Drawing

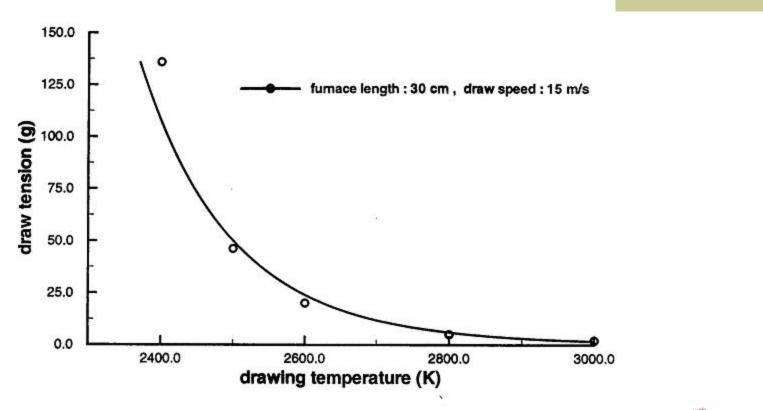




Effect of Furnace Length on Tension



Dependence of Draw Tension on Furnace Wall Temperature

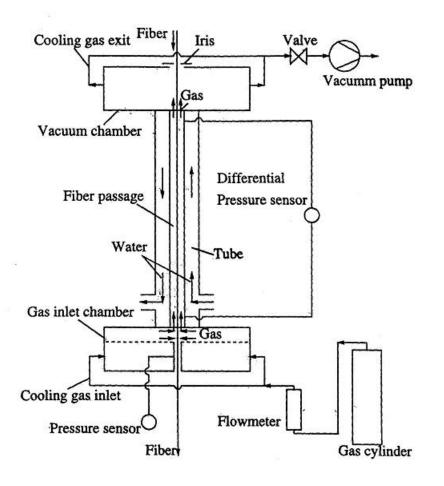




Cooling of Fibers After Draw Furnace

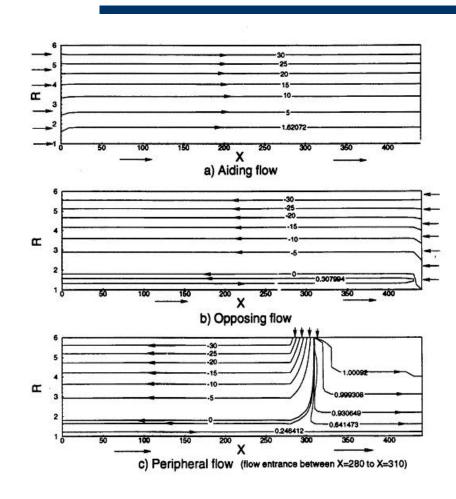


System for Cooling of Fibers



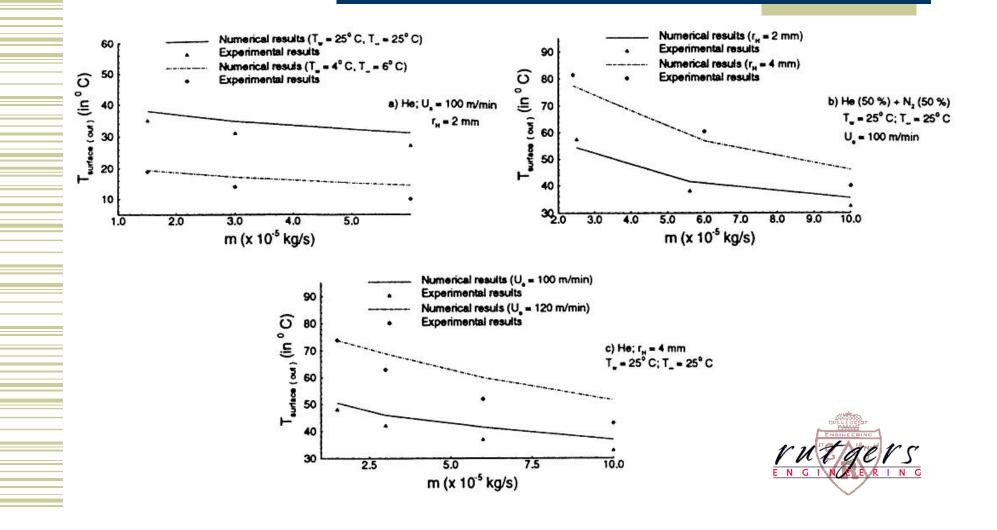


Streamlines for Aiding, Opposing and Peripheral flow





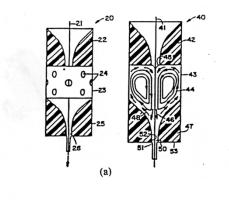
Comparison of the Numerical Results with Experimental Data Obtained by Vaskopulos et al. (1993)

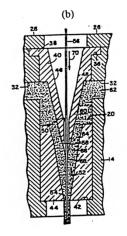


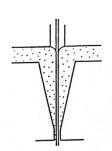
Polymer Coating of Fibers



Typical Coating Applicator Designs



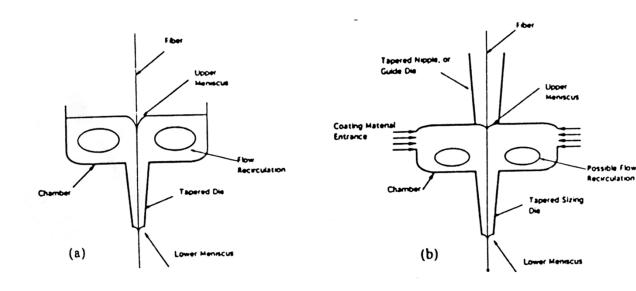




(c)



Flow in Fiber Coating Process

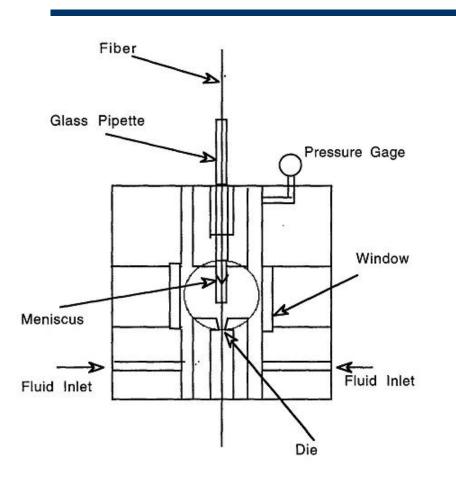


Open-Cup

Pressurized

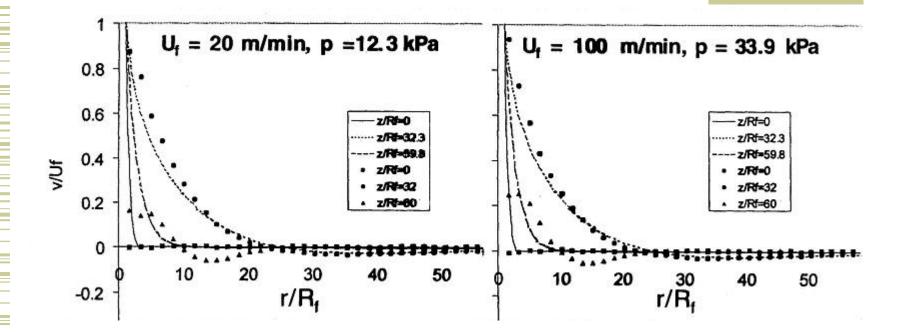


SCHEMATIC DIAGRAM OF A TEST APPLICATION





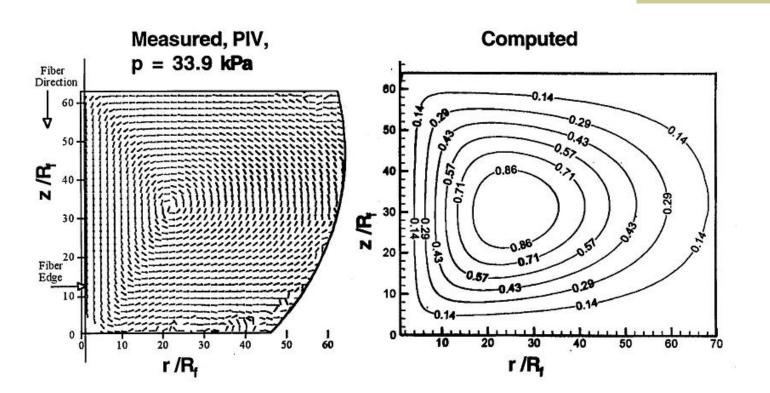
Measured and Computed Flow Velocities



Lines Indicate Computed Results r/ R_f = Radial distance from the fiber z/ R_f = Axial distance from the exit die



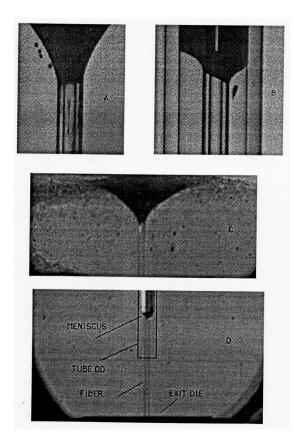
Particle Pathlines and Computed Flow Streamlines



Fiber Speed: 100 m/min



Meniscus in Fiber Coating Process

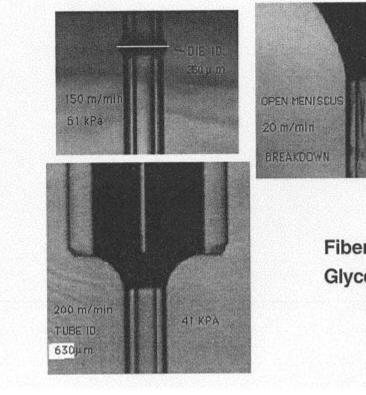


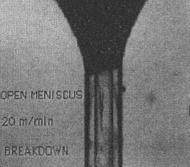
A, C: Unpressurized

B, **D**: Pressurized



Meniscus Size Depends on Fiber Speed, Pressure and Inlet Diameter



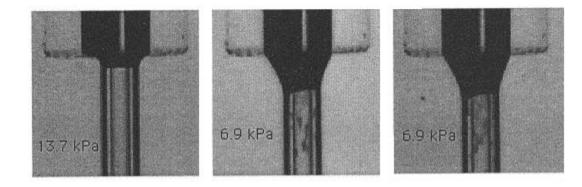


Fiber diameter: 254 µm Glycerine: 0.88 N sec/m²



Sufficient Decrease in Pressure Results in Meniscus Breakdown

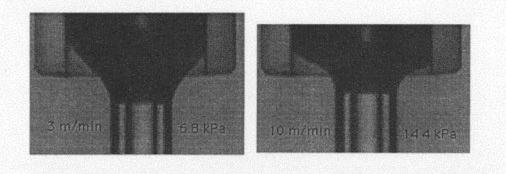
Fiber diameter : 254 μm Tube ID : 460 μm Glycerine: 0.88 N sec/m² Fiber speed: 40 m/min

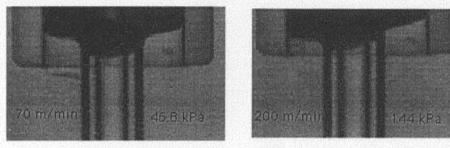




Increase in Applicator Pressure Flattens The Meniscus

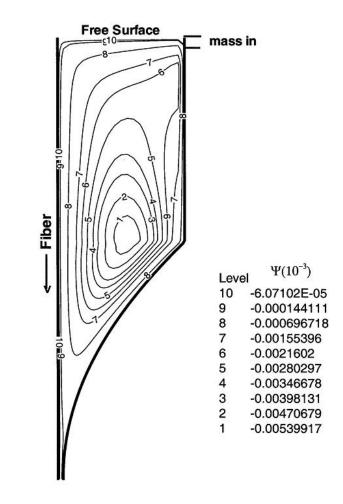
Fiber diameter : 254 μm Tube ID : 460 μm Glycerine: 0.88 N sec/m²





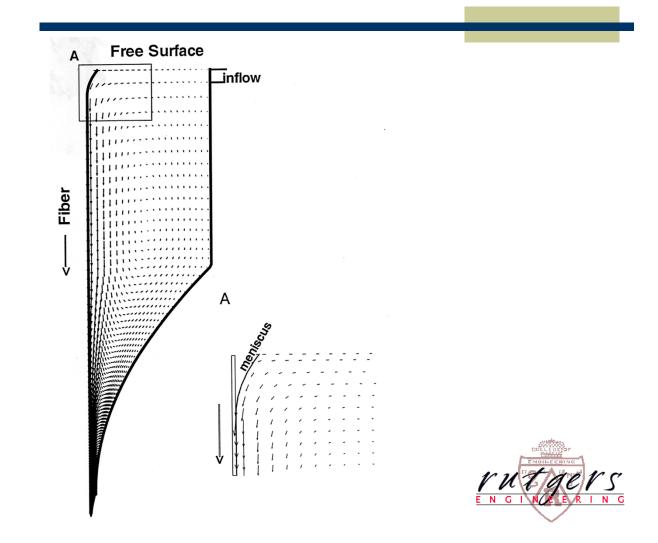


Stream Function Distribution for Fiber Speed of 10 m/s

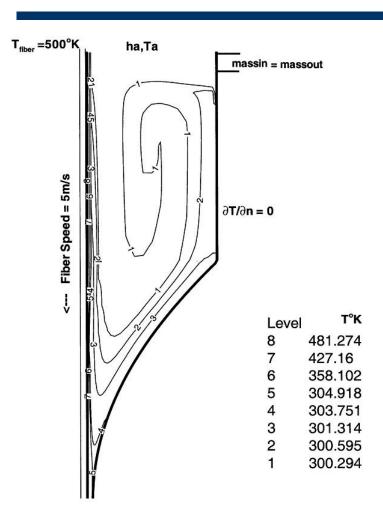




Effect of Meniscus

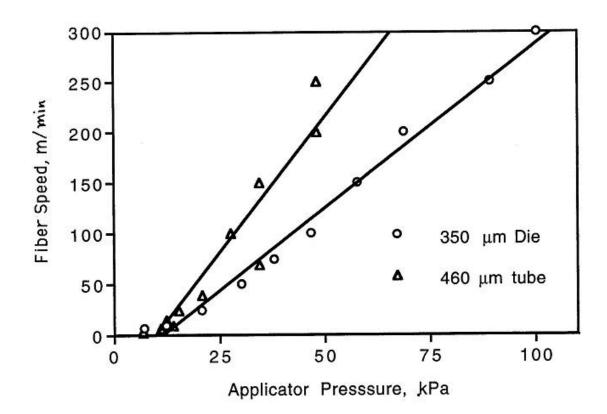


Temperature Contours for Fiber Speed of 5m/s, with Adiabatic Wall Boundary Condition



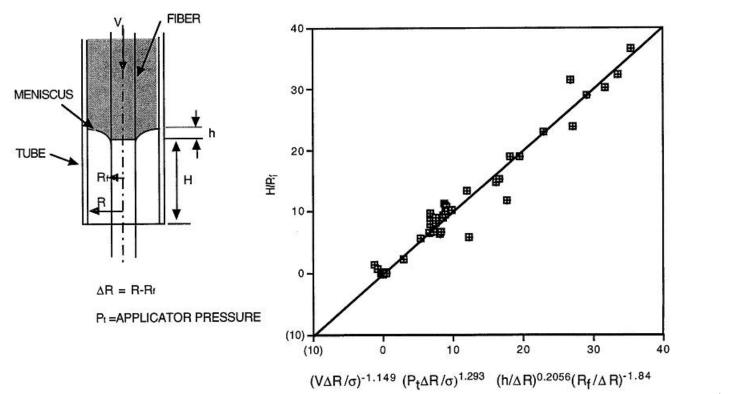


Applicator Pressure vs. Fiber Speed for Maintaining Entrance Meniscus Near Tube or Die Exit





Correlation of Meniscus Position within Entrance Tube

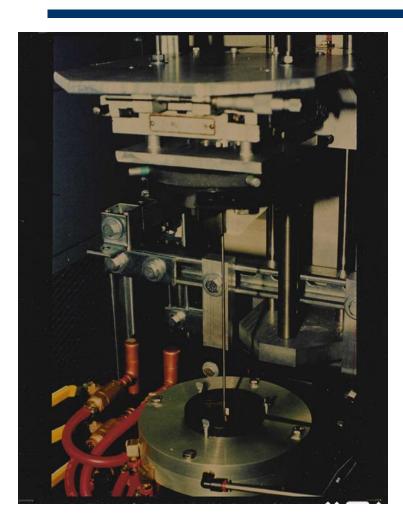




Inverse Problem for Furnace Wall Temperature Measurement

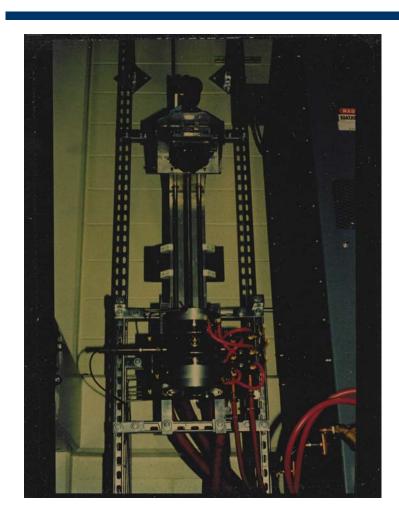


Temperature Measurements in the Furnace



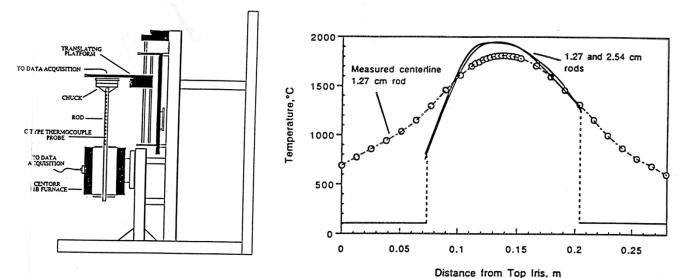


Temperature Measurements in the Furnace





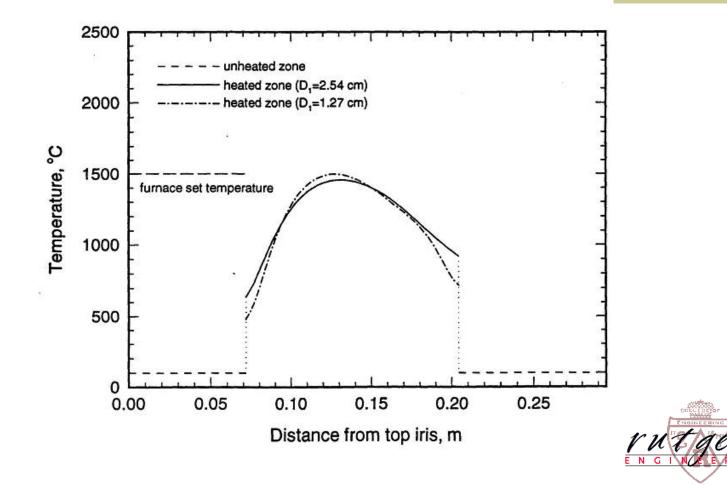
Inverse Calculation to Obtain Furnace Temperature Distribution







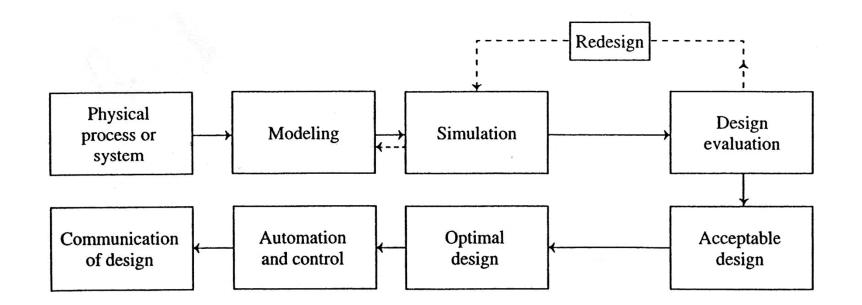
Computed Furnace Axial Temperature Distributions for Different Graphite Rod Sizes $T_f = 1500^{\circ}C$



Design and Optimization

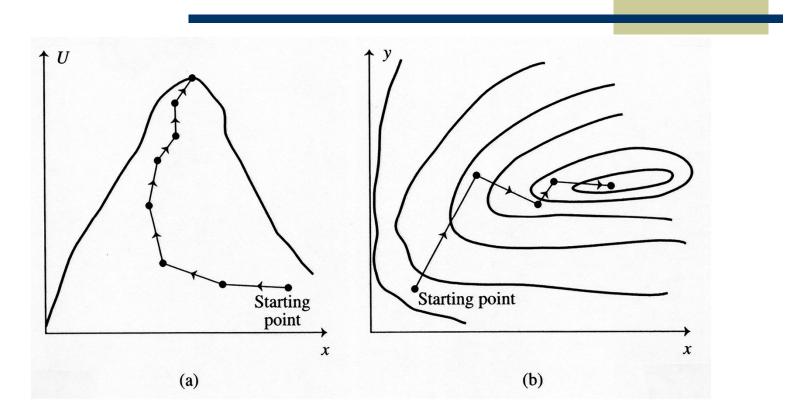


Typical Steps in Design and Optimization



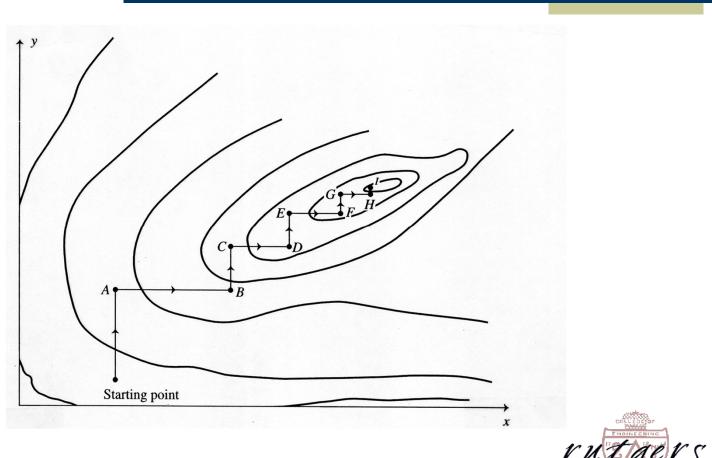


Steepest Ascent Method for Optimization



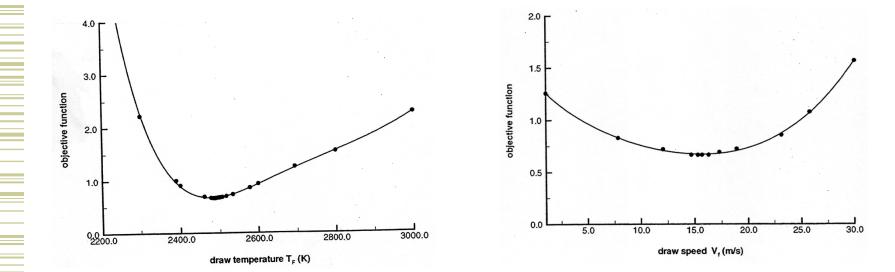


Univariate Search for Optimization





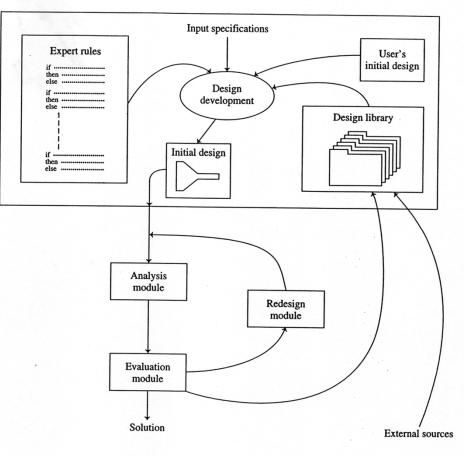




U = (Operating Cost x Defect Conc.) / Production Rate

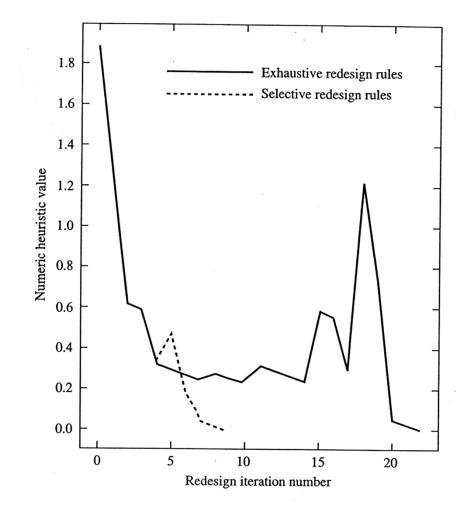


Development of an Initial Design





Convergence using Knowledge Base





Future Research Needs

- Need Better Link Between Research and Practice
- Validation, System Simulation, Feasibility, Control, Design and Optimization
- Strong Need for Material Properties
- Experimentation for Validation and Insight
- Coupling of Micro- and Nano- Scale with Macro-scale
- Effect on Material and Product Characteristics



Acknowlegements

- Federal Support: NSF
- State of New Jersey
- Industry: Corning, AT & T and Polymer Industry
- Graduate Students, Post-Doctoral Researchers, Colleagues

